



March 2, 2023

Client
Address
City, State Zip

Re: Important Security Breach Information- Please read this entire letter

Dear Client:

We are contacting you regarding an email security incident that occurred on or about February 8th, 2023 at Summit Capital Management, the office of Andrew Daudt, a registered representative of American Independent Securities Group, LLC. This incident involved emails being sent from adaudt@aisgadvisor.com or variations of his email to include adaudt@aisgadvisor0r.com (please note the 0 [zero] in the word advisor) offering promotions or services regarding Crypto or Goldfinch Crypto Investments. Regrettably some of your personal information may have been contained in Mr. Daudts' email at the time of the compromise. It is important to note that we have no evidence to support any attempts to misuse private client information thus far, but there is a possibility that your name, email address, address, or other identifying information may have been potentially exposed to others. We ask that you block and delete any emails from adaudt@aisgadvisor0r.com and notify us promptly of notifications or communications you may have received from this fraudulent email address.

If you have been the victim of identity theft, please file a police report and retain a copy of it. You may also place a security freeze on you credit reports, free of charge. A security freeze prohibits a credit reporting agency from releasing any information from a consumer's credit report without written authorization. However, please be aware that placing a security freeze on your credit report may delay, interfere with, or prevent the timely approval of any requests you make for new loans, credit mortgages, employment, housing, or other services. Under federal law, you cannot be charged to place, lift, or remove a security freeze. You must place your request to freeze with each of the three major consumer reporting agencies: Equifax (www.equifax.com); Experian (www.experian.com); and TransUnion (www.transunion.com). To place a security freeze on your credit report, you may send a written request by regular, certified, or overnight mail at the addresses below. You may also place a security freeze through each of the consumer reporting agencies' websites or over the phone, using the contact information below:

Equifax Security Freeze
P.O. Box 105788
Atlanta, GA 30348-5788
1-800-349-9960

<https://www.equifax.com/personal/help/place-lift-remove-security-freeze>

Experian Security Freeze
P.O. Box 9554
Allen, TX 75013
1-888-397-3742
<https://www.experian.com/freeze/center.html>

TransUnion Security Freeze
P.O. Box 160
Woodlyn, PA 19094
1-888-909-8872
<https://www.transunion.com/credit-freeze>

In order to request a security freeze, you will need to provide some or all of the following information to the credit reporting agency:

1. Your full name (including middle initial and suffix such as Jr., Sr., II, III, etc.);
2. Social Security Number;
3. Date of birth;
4. If you have moved in the past five (5) years, the previous addresses of the prior five years;
5. Proof of current address, such as a current utility bill, telephone bill, rental agreement, or deed;
6. A legible photocopy of a government issued identification card (state driver's license or ID card, military identification, U.S. Passport etc.);
7. Social Security Card, pay stub, or W2;
8. If you have been the victim of identity theft, include a copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft.

The credit reporting agencies have one (1) to three (3) business days after receiving your request to place a security freeze on your credit report, based upon the method of your request (online, phone, or mail). The credit bureaus must follow up with you via written confirmation within five (5) business days, and provide you with a unique personal identification number (PIN) or password (or both) that can be used to authorize the removal or lifting of the security freeze. This PIN / Password has been specifically assigned to you so it is important to maintain this PIN/password in a secure place, as you will need it to lift or remove the security freeze.

In order to allow a specific entity or individual access to your credit report, you must make a request to each of the credit reporting agencies by mail, through their website, or by phone (using the contact information above). You must provide proper identification (including name, address, and social security number) and the PIN / Password provided to you when you placed the security freeze. Each credit reporting agency will also require the identities of those entities or individuals you would like to receive your credit report. You may also temporarily lift a security freeze for a specified period of time rather than for a specific entity or individual using the same contact information above. The credit bureaus have between one (1) hour (for requests made online) and three (3) business days (for request made by mail) after receiving your request to lift the security freeze for those identified entities or for the specified period of time.

To remove the security freeze, you must make a request to each of the credit reporting agencies by mail, through their website, or by phone (using the contact information above). You must provide proper identification (name, address, and social security number) and the PIN / Password provided to you when you placed the security freeze. The credit bureaus have between one (1) hour (for requests made online)

and three (3) business days (for requests made by mail) after receiving your request to remove the security freeze.

You also have the option of placing a fraud alert on your credit file. The alert informs creditors of possible fraudulent activity within your report and requests that the creditor contact you prior to establishing any accounts in your name. Placing a fraud alert is easy – you contact any one of the three nationwide credit reporting agencies, Equifax, Experian, TransUnion (see below contact information) and the one which you contact must notify the other two credit reporting agencies. You do not have to notify all three; you can contact any one of the following:

- Equifax: by calling (800) 525-6285; or download the following form to request a fraud alert by mail.

https://assets.equifax.com/assets/personal/Fraud_Alert_Request_Form.pdf

- Experian: by calling (888) 397-3742 or by going to:

<https://www.experian.com/ncaonline/fraudalert>

- Transunion: by calling (800) 680-7289 or by going to

<https://fraud.transunion.com/fa/fraudAlert/landingPage.jsp>

There are two types of fraud alerts you can place on your credit report, and both are free.

- **Initial fraud alert** - An initial fraud alert remains on your credit report for one year, unless you choose to remove it sooner, and can be renewed for additional one-year periods. An initial fraud alert also allows you to request an additional free copy of your credit reports from the three nationwide credit bureaus during the 12-month period following the placement of the initial fraud alert.

- **Extended fraud alert** - If you have a police report or a Federal Trade Commission Identity Theft Report showing you're a victim of identity theft, you may place an extended fraud alert on your credit report. An extended fraud alert remains on your credit report for seven years unless you choose to remove it sooner. An extended fraud alert also allows you to request two free credit reports from the three nationwide credit bureaus during the 12-month period following the placement of the extended fraud alert, and your name is removed from pre-screened credit card and insurance offers for 5 years.

By establishing your fraud alert, you will receive a follow-up letter from the credit reporting agency that explains how to receive a free copy of your credit report. Examine your credit report closely for signs of fraud. Continue to monitor your credit reports, even though a fraud alert has been placed on your account. You may obtain a free copy of your credit report every 12 months by visiting www.annualcreditreport.com, by calling (877) 322-8228 or by completing an Annual Credit Request Form at <https://www.annualcreditreport.com/manualRequestForm.action> then mailing the form to Annual Credit Report Request Service, P.O. Box 105281, Atlanta, GA 30348-5281.

At this time, we would like to assure you that Mr. Daudt's email address adaudt@aisgadvisor.com has been secured. He will continue to utilize this email address for his business email, so should you receive an email from this email (adaudt@aisgadvisor.com), and you are questioning if it is legitimate, please feel free to contact his office at (208) 938-0149 to confirm its content prior to acting on any instructions.

We sincerely apologize and regret any inconvenience this may cause you. We ask that you block and delete any emails from adaudt@aisgadvisor.com (please note the 0 [zero] in the word advisor) and notify us promptly of notifications or communications you may have received from this fraudulent email address. If you suspect identity theft has occurred you should report the incident to your local law enforcement or your state attorney general's office. Should you have any questions regarding this matter, please do not hesitate to contact us at (866) 485-4635.

Sincerely,

A handwritten signature in black ink that reads "Sheila Kerbein". The signature is written in a cursive, flowing style.

Sheila Kerbein
CCO