

5707 Southwest Parkway, Bldg. 2, Ste. 400, Austin, Texas 78735 844-5-KESTRA (844-553-7872)

#### Date

Name Address Address

Dear XXX.

We are writing to notify you about an incident that may have exposed some of your personal information. We take the protection and proper use of your information very seriously. For this reason, we are contacting you directly to explain the circumstances of the incident.

Kestra Financial is the parent company of Kestra Investment Services, LLC, Kestra Advisory Services, LLC, Kestra Private Wealth Services LLC, and Kestra Institutional Services, LLC. Your financial advisor affiliated with Maleta Wealth, has associated with a Kestra Financial company as his/her broker-dealer and/or investment adviser, to provide you investment products and services.

## What Happened?

On or around September 18, 2023, a computer associated with Maleta Wealth was accessed by an unauthorized party. The breach was discovered on September 18, 2023 and fully remediated.

#### What Information Was Involved?

We do not have any specific evidence that your Personally Identifiable Information (PII) was stolen such as: first and last names, social security number, date of birth, and financial account numbers. However, we are providing this notice in an abundance of caution.

### What We Are Doing.

To help relieve concerns and restore confidence following this incident, we have secured the services of Kroll to provide identity monitoring services at no cost to you for 12 months. Kroll is a global leader in risk mitigation and response, and their team has extensive experience helping people who have sustained an unintentional exposure of confidential data.

## **How to Activate Your Identity Monitoring Services**

- 1. You must activate your identity monitoring services by XXXXXX. Your Activation Code will not work after this date.
- 2. Visit https://enroll.idheadquarters.com/redeem to activate your identity monitoring services.
- 3. Provide Your Activation Code: XXXXXXXXX and Your Verification ID: XXXXXXXXXX

To sign in to your account <u>after you have activated</u> your identity monitoring services, please visit **https://login.idheadquarters.com/** 



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# Take Advantage of Your Identity Monitoring Services

You've been provided with access to the following services 1 from Kroll:

## Single Bureau Credit Monitoring

You will receive alerts when there are changes to your credit data—for instance, when a new line of credit is applied for in your name. If you do not recognize the activity, you'll have the option to call a Kroll fraud specialist, who can help you determine if it's an indicator of identity theft.

### Fraud Consultation

You have unlimited access to consultation with a Kroll fraud specialist. Support includes showing you the most effective ways to protect your identity, explaining your rights and protections under the law, assistance with fraud alerts, and interpreting how personal information is accessed and used, including investigating suspicious activity that could be tied to an identity theft event.

### **Identity Theft Restoration**

If you become a victim of identity theft, an experienced Kroll licensed investigator will work on your behalf to resolve related issues. You will have access to a dedicated investigator who understands your issues and can do most of the work for you. Your investigator can dig deep to uncover the scope of the identity theft, and then work to resolve it.

# Important Contact Information

Equifax PO Box 740241 Atlanta, GA 30374 800-525-6285 www.equifax.com	Experian PO Box 4500 Allen, TX 75013 888-397-3742 www.experian.com	TransUnion PO Box 2000 Chester, PA 19016 877-322-8228 www.transunion.com	Federal Trade Commission 600 Pennsylvania Ave. NW Washington DC 20580 877-438-4338 www.ftc.gov/theft
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1 Kroll's activation website is only compatible with the current version or one version earlier of Internet Explorer, Chrome, Firefox, and Safari. To receive credit services, you must be over the age of 18 and have established credit in the U.S., have a Social Security number in your name, and have a U.S. residential address.