

# EVANSON ASSET MANAGEMENT

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[www.evansonasset.com](http://www.evansonasset.com)

Date

Name  
Address  
Address

## Notice of Data Breach

Dear Name:

Evanson Asset Management recently learned of an incident that may have resulted in unauthorized access to an email containing your financial account information. We truly regret that this happened.

### What Happened?

On January 9<sup>th</sup> and January 10<sup>th</sup>, two of our employees' computers were compromised by a phishing email sent to the individuals via a highly-targeted email attack. This incident allowed an unauthorized individual to have access to some of the employees' email correspondence, including the content of an email that we exchanged with you via our encrypted secure mail service. Although we do not know if our communication with you was actually viewed, we are notifying all affected customers out of an abundance of caution.

### What Information Was Involved?

You are receiving this notice because an email on the impacted computer contained your name email address, and financial account number. ***Your Social Security number was not contained in the email and was not exposed.***

### What We Are Doing?

We immediately notified the financial institutions with whom your funds are custodied and requested that they enhance their monitoring of your account. Your account custodian may contact you to verify account activity.

### What You Can Do?

Although we have no reason to believe that harm will result from this incident, it is nonetheless important to always remain vigilant for instances of fraud or identify theft. It is a good practice to check your consumer reports annually. You may obtain a free copy of your credit report once every 12 months from each of the nationwide consumer reporting agencies by visiting <http://www.annualcreditreport.com> or by contacting the consumer reporting agencies at:

Equifax  
(800) 685-1111  
P.O. Box 740256  
Atlanta, GA 30374-0241  
[www.equifax.com](http://www.equifax.com)

Experian  
(888) 397-3742  
P.O. Box 2002  
Allen, TX 75013  
[www.experian.com](http://www.experian.com)

TransUnion  
(800) 916-8800  
P.O. Box 2000  
Chester, PA 19022-2000  
[www.transunion.com](http://www.transunion.com)

You can obtain further information about fraud alerts and security freezes from these sources as well as from the Federal Trade Commission (FTC) whose contact information is listed below.

We also recommend that you carefully review all your financial account statements to make certain there have been no unauthorized transactions made or new accounts opened in your name. Contact your financial institutions immediately if there is unauthorized activity on your accounts or if an unauthorized account has been opened in your name. You should also report any suspected identity theft to your local law enforcement agency or state attorney general.

Additionally, because your email address may have been exposed, we recommend that you be alert for any fraudulent or phishing emails that may be sent to you. Do not ever sent sensitive personal information or account information to any company other than via a secure, encrypted mechanism. For more information about phishing and how to avoid these scams, please see the FTC's website at <https://www.consumer.ftc.gov/articles/0003-phishing>.

**Other Important Information.**

If you want to learn more about the steps you can take to avoid identity theft, please visit the Federal Trade Commission's website. at <http://www.ftc.gov/bcp/edu/microsites/idtheft/>. You can also contact the Federal Trade Commission via phone at 1-877-ID-THEFT (877-438-4338) or via mail to the FTC Consumer Response Center, 600 Pennsylvania Avenue, NW, Washington, DC 20580.

**For More Information.**

Should you have questions or concerns regarding this matter and/or the protections available to you, please do not hesitate to contact us at 1-800-624-1015.

Please know that we take the security of your personal data very seriously and are committed to minimizing the risks associated with the exposure of your personal information. We maintain numerous safeguards to protect your information, and we are constantly updating our security controls in our effort to protect the personal information that is entrusted to us.

Again, we sincerely apologize for this incident and regret any inconvenience it may cause you.

Sincerely,

Steven Evanson, Ph. D.  
Owner

## **ADDITIONAL INFORMATION FOR CERTAIN STATE RESIDENTS**

**CALIFORNIA:** The mailing of this notice was not delayed by law enforcement.

**CONNECTICUT:** You have the right to place a security freeze on your consumer reports. A security freeze prevents a consumer reporting agency from releasing your credit report without your authorization. However, using a security freeze may delay your ability to obtain credit. You may request that a freeze be placed on your consumer report by sending a written request to each credit reporting agency by certified mail, overnight mail or regular stamped mail to the address below.

Equifax Security Freeze  
P.O. Box 105788  
Atlanta, GA 30348

Experian Security Freeze  
P.O. Box 9554  
Allen, TX 75013

TransUnion (FVAD)  
P.O. Box 2000  
Chester, PA 19022-2000

The following information should be included when requesting a security freeze: full name, with middle initial and any suffixes; Social Security number; full date of birth; current address and previous addresses for the past two years. The request also should include a copy of a government-issued identification card, such as a driver's license, state or military ID card, and a copy of a recent utility bill, bank or insurance statement that verifies your current residence.

The consumer reporting agency may charge a fee of up to \$5.00 to place a freeze or lift or remove a freeze, unless you are a victim of identity theft and have submitted a police report relating to the identity theft to the consumer reporting company.

Each credit reporting agency will send a written confirmation to you regarding the freeze along with a unique personal identification number (PIN) that can be used by you to authorize the removal or lifting of the security freeze. It is very important for you to protect and remember the PIN. To lift the security freeze in order to allow a specific entity or individual access to your credit report or to remove the freeze, you must contact the credit reporting agencies and provide your identification information and the PIN.

You can also place, lift or remove a security freeze using consumer reporting agencies' websites:

Equifax: [https://www.freeze.equifax.com/Freeze/jsp/SFF\\_PersonalIDInfo.jsp](https://www.freeze.equifax.com/Freeze/jsp/SFF_PersonalIDInfo.jsp)

Experian: <https://www.experian.com/freeze/center.html>

TransUnion: <https://www.transunion.com/credit-freeze/place-credit-freeze>

**MARYLAND:** Maryland residents may contact the Maryland Attorney General's Office for more information about identity theft:

Office of the Maryland Attorney - General Consumer Protection Division - [www.oag.state.md.us](http://www.oag.state.md.us)  
200 St. Paul Place, Baltimore, MD 21202

The Maryland Identity Theft Unit can be reached at by calling 1-410-576-6491  
or via email to [idtheft@oag.state.md.us](mailto:idtheft@oag.state.md.us).

**NORTH CAROLINA:** North Carolina residents may contact the North Carolina Attorney General's Office for more information about identity theft:

North Carolina Office of the Attorney General - Consumer Protection Division - [www.ncdoj.com](http://www.ncdoj.com)  
9001 Mail Service Center, Raleigh, NC 27699-9001  
1-877-566-7226 or 1-919-716-6000